1906 U.S. PTO 10/615757 07/09/03

Preliminary Classification:

Proposed Class:

Subclass:

NOTE: "All applicants are requested to include a preliminary classification on newly filed patent applications. The preliminary classification, preferably class and subclass designations, should be identified in the upper right-hand corner of the letter of transmittal accompanying the application papers, for example 'Proposed Class 2, subclass 129." M.P.E.P. Section 601, 7th ed.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

[X] deposited with the United States Postal Service in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10*

[] with sufficient postage as first class mail.

[X] as "Express Mail Post Office to Address" Mailing Label No. <u>EL923832655US</u>

(mandatory)

Date: July 9, 2003

LUZ C. LOPEZ

(type or print name of person certifying)

*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).

"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

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Transmitted herewith for filing is the patent application of

Inventor(s): ROBERT W. HEALEY **WARNING:** 37 C.F.R. Section 1.41(a)(1) points out: "(a) A patent is applied for in the name or names of the actual inventor or inventors. (1) The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by Section 1.63, except as provided for in Section 1.53(d)(4) and Section 1.63(d). If an oath or declaration as prescribed by Section 1.63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to Section 1.53(b), unless a petition under this paragraph accompanied by the fee set forth in Section 1.17(I) is filed supplying or changing the name or names of the inventor or inventors." For (title): REVERSE BATTERY PROTECTION FOR A TROLLING MOTOR 1. Type of Application This new application is for a(n) (check one applicable item below) [X]Original (nonprovisional) Design [] Plant **WARNING:** Do not use this transmittal for a completion in the U.S. of an International Application under 35 U.S.C. 371(c)(4), unless the International Application is being filed as a divisional, continuation or continuation-in-part application. **WARNING:** Do not use this transmittal for the filing of a provisional application. NOTE: If one of the following 3 items apply, then complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION. [] Divisional. [] Continuation. [] Continuation-in-part (C-I-P).

2. Benefit of Prior U.S. Application(s) (35 U.S.C. Sections 119(e), 120, or 121)

NOTE: A nonprovisional application may claim an invention disclosed in one or more prior filed copending nonprovisional applications or copending international applications designating the United States of America. In order for a nonprovisional application to claim the benefit of a prior filed copending nonprovisional application or copending international application designating the United States of America, each prior

(New Application Transmittal--page 2 of 12)

application must name as an inventor at least one inventor named in the later filed nonprovisional application and disclose the named inventor's invention claimed in at least one claim of the later filed nonprovisional application in the manner provided by the first paragraph of 35 U.S.C. Section 112. Each prior application must also be:

- (i) An international application entitled to a filing date in accordance with PCT Article 11 and designating the United States of America; or
- (ii) Complete as set forth in Section 1.51(b); or
- (iii) Entitled to a filing date as set forth in Section 1.53(b) or Section 1.53(d) and include the basic filing fee set forth in Section 1.16; or
- (iv) Entitled to a filing date as set forth in Section 1.53(b) and have paid therein the processing and retention fee set forth in Section 1.21(l) within the time period set forth in Section 1.53(f).

37 C.F.R. Section 1.78(a)(1).

NOTE If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., or benefit of a prior provisional application is claimed, then check the following item and complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

WARNING: If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. 120, 121 or 365(c). (35 U.S.C. 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. 119, 365(a) or 365(b).) For a c-I-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.

WARNING: When the last day of pendency of a provisional application falls on a Saturday, Sunday, or Federal holiday within the District of Columbia, any nonprovisional application claiming benefit of the provisional application must be filed prior to the Saturday, Sunday, or Federal holiday within the District of Columbia. See 37 C.F.R. Section 1.78(a)(3).

[]	The new application bein	g transmitted claims the benefit of prior U.S.	application(s).
	This application is a	of corresponding application Serial No	, filed
	_		

3. Papers Enclosed

A. Required for Filing Date under 37 C.F.R. Section 1.53(b) (Regular) or 37 C.F.R. Section 1.153 (Design) Application

12	Pages of Specification
2_	Pages of Claims
	Pages of Abstract
6	Sheets of Drawing [Informal

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WARNING: DO NOT submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to Section 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. Only one copy is required or desired. For comments on proposed then-new 37 C.F.R. 1.84, see Notice of March 9, 1988. (1990 O.G. 57-62).

NOTE: "Identifying indicia, if provided, should include the application number or the title of the invention, inventor's name, docket number (if any), and the name and telephone number of a person to call if the Office is unable to match the drawings to the proper application. This information should be placed on the back of each sheet of drawing a minimum distance of 1.5 cm. (5/8 inch) down from the top of the page. .." 37 C.F.R. Section 1.84(c)).

(complete the following, if applicable)

	[]		aclosed drawing(s) are photograph(s), and there is also attached a "PETITION CCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 C.F.R. Section 1.84(b).
		[]	Formal Informal
	В.	Other	Papers Enclosed
			Pages of declaration and power of attorney Pages of assignment Nonpublication Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) Initial Application Data Sheet None Other
4.	Additi	onal Pa	apers Enclosed
	[]	Amen	dment to claims
		[]	Cancel in this applications claims before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
		[]	Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)
	[] []	Inform	inary Amendment nation Disclosure Statement (37 C.F.R. Section 1.98) PTO-1449 (PTO/SB/08A and 08B)
			Oleve Application Toward Value of A C10)

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	[]	Citations Declaration of Biological Deposit Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence. NOTE: I hereby state that the information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing. See 37 CFR 1.821(f). Authorization of Attorney(s) to Accept and Follow Instructions from Representa- tive Special Comments Other	
5.	Declar	ration or Oath (including power of attorney)	
NOTE:	A newly executed declaration is not required in a continuation or divisional application provided the prior nonprovisional application contained a declaration as required, the application being filed is by all or fewer than all the inventors named in the prior application, there is no new matter in the application being filed, and a copy of the executed declaration filed in the prior application (showing the signature or an indication thereon that it was signed) is submitted. The copy must be accompanied by a statement requesting deletion of the names of person(s) who are not inventors of the application being filed. If the declaration in the prior application was filed under Section 1.47 then a copy of that declaration must be filed accompanied by a copy of the decision granting Section 1.47 status or, if a nonsigning person under Section 1.47 has subsequently joined in a prior application, then a copy of the subsequently executed declaration must be filed. See 37 C.F.R. Section 1.63(d)(1)-(3).		
NOTE:	A declaration filed to complete an application must be executed, identify the specification to which it is directed, identify each inventor by full name, including the family name, and at least one given name without abbreviation together with any other given name or initial, and the residence, post office address and country of citizenship of each inventor, and state whether the inventor is a sole or joint inventor. 37 C.F.R. Section 1.63(a)(1)-(4).		
NOTE:	A The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by Section 1.62, except as provided for in Section 1.53(d)(4) and Section 1.63(d). If an oath or declaration as prescribed by Section 1.63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to Section 1.53(b), unless a petition under this paragraph accompanied by the fee set forth in Section 1.17(I) is filed supplying or changing the name or names of the inventor or inventors. 37 C.F.R. Section 1.41(a)(1).		
	[]	Enclosed	

		Execut	ted by	(check all applicable boxes)
		[]	joint in	r(s). presentative of inventor(s). 37 C.F.R. Section 1.42 or 1.43. ventor or person showing a proprietary interest on behalf of r who refused to sign or cannot be reached.
			-	This is the petition required by 37 C.F.R. Section 1.47 and the statement required by 37 C.F.R. Section 1.47 is also attached. See item 13 below for fee.
	[X]	Not Er	iclosed.	
NOTE: Where the filing is a completion in the U.S. of an International Application, or where the completion of U.S. application contains subject matter in addition to the International Application, the application metreated as a continuation or continuation-in-part, as the case may be, utilizing ADDED PAGE FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.		ubject matter in addition to the International Application, the application may be or continuation-in-part, as the case may be, utilizing ADDED PAGE FOR NEW		
		[]		ation is made by a person authorized under 37 C.F.R. 1.41 on behalf ne above named inventor(s).
•		or oath, sequently,	-	h the surcharge required by 37 C.F.R. Section 1.16(e),
				Showing that the filing is authorized. (not required unless called into question. 37 C.F.R. Section 1.41(d))
6.	Invent	torship	Stateme	ent
WARNI	NG:			entors are each not the inventors of all the claims an explanation, including the arious claims at the time the last claimed invention was made, should be submitted.
The in	ventors	hip for a	all the cla	aims in this application are:
	[]	The sa	me.	or
	[]		ne the las	An explanation, including the ownership of the various claims at st claimed invention was made, aitted. submitted.

(New Application Transmittal--page 6 of 12)

NOTE:	An application including a signed oath or declaration may be filed in a language other than English. An English translation of the non-English language application and the processing fee of \$130.00 required by 37 C.F.R. Section 1.17(k) is required to be filed with the application, or within such time as may be set by the Office. 37 C.F.R. Section 1.52(d).				
	[X]	English Non-Englis	h		
			attached translation includes a statement that the translation is trate. 37 C.F.R. Section 1.52(d).		
8.	Assign	ment			
	[X]	An assignm	nent of the invention to		
		[]	tached. A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or FORM PTO 1595 is also attached. ignment previously recorded on at Reel / Frame		
		[X] will	follow.		
NOTE:			bmitted with a new application, send two separate letters-one for the application and "Notice of May 4, 1990 (1114 O.G. 77-78).		
WARNI	NG:		cuted "STATEMENT UNDER 37 C.F.R. Section 3.73(b)" must be filed when a in-part application is filed by an assignee. Notice of April 30, 1993, 1150 O.G. 62-64.		
9.	Certified Copy				
	Certified copy(ies) of application(s) Country: Application No.: Filed:				
	from v	hich priorit	y is claimed		
	[]	is (are) atta will follow			

7.

Language

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NOTE: The foreign application forming the basis for the claim for priority must be referred to in the oath or declaration. 37 C.F.R. Section 1.55(a) and 1.63.

NOTE: This item is for any foreign priority for which the application being filed directly relates. If any parent U.S. application or International Application from which this application claims benefit under 35 U.S.C. 120 is itself entitled to priority from a prior foreign application, then complete item 18 on the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

10. Fee Calculation (37 C.F.R. Section 1.16)

A. [X] Regular application

CLAIMS AS FILED

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. Section 1.16(a) \$750.00
Total Claims (37 C.F.R. Section 1.16(c))	4	- 20=	0 x	\$ 18.00	0.00
Independent Claims (37 C.F.R. Se 1.16(b))	1 ection	- 3 =	0 x	\$ 84.00	0.00
Multiple Dependent Claim(s), if at (37 C.F.R. Se 1.16(d))	•		+	\$280.00	0.00
[]		ting multiple-c	aims is enclosed. dependencies is en g paid at this time.	closed.	

NOTE: If the fees for extra claims are not paid on filing they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 C.F.R. Section 1.16(d).

Filing Fee Calculation

\$<u>750.00</u>

	В.	[] Design application (\$310.0037 C.F.R. Section	1.16(f)) Filing Fee Calculation	\$
	C.	[] Plant application (\$480.0037 C.F.R. Section	1.16(g)) Filing Fee Calculation	\$
11.	Small	Entity Statement(s)		
	[]	Small entity statement is claim	med at this time.	
	[X]	Small entity statement is not	claimed at this time.	
WARN.	ING:	status is available and desired. Sta any other application or patent, in dependent upon the application or patent, in application under Section 1.53 as continued prosecution application requires a new determination as to reissue application. A nonprovision or 365(c) of a prior application, or application or in the patent if the reference to the statement in the prior in the prior application or in the patent	ecifically established in each application tus as a small entity in one application cluding applications or patents which patent in which the status has been estable a continuation, division, or continual under Section 1.53(d)), or the filing continued entitlement to small entity stal application claiming benefit under 3 are issue application may rely on a standard provisional application or the reistor application or in the patent or inclustent and status as a small entity is stitutory filing fee will be treated as such 1.28(a)(2).	n or patent does not affect hare directly or indirectly ablished. The refiling of an ation-in-part (including a g of a reissue application tatus for the continuing or 15 U.S.C. 119(e), 120, 121, statement filed in the prior sue application includes a des a copy of the statement ll proper and desired. The
WARN.	ING:		blished when the person or persons signelf-certification." M.P.E.P. Section 5	
		Filing Fee Calculation (50%	of A, B or C above)	\$
NOTE:	within 2		ed if a small entity status is establishe ent of a full fee. The two-month perio	
12.	Reques	st for International-Type Sea	arch (37 C.F.R. Section 1.104(d	1))
		(comple	te, if applicable)	
	[]	Please prepare an internation when national examination of	nal-type search report for this a n the merits takes place.	pplication at the time
			(New Application	on Transmittalpage 9 of 12)

13. Fee Payment Being Made at This Time [X] Not Enclosed [X]No filing fee is to be paid at this time. (This and the surcharge required by 37 C.F.R. Section 1.16(e) can be paid subsequently.) 1 Enclosed [] Filing fee Recording assignment (\$40.00; 37 C.F.R. Section 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION.") [] Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached (\$130.00; 37 C.F.R. Sections 1.47 and 1.17(I)) [] For processing an application with a specification in a non-English language (\$130.00; 37 C.F.R. Sections 1.52(d) and 1.17(k)) [] Processing and retention fee (\$130.00; 37 C.F.R. Sections 1.53(d) and 1.21(l))

NOTE: 37 C.F.R. Section 1.21(l) establishes a fee for processing and retaining any application that is abandoned for failing to complete the application pursuant to 37 C.F.R. Section 1.53(f) and this, as well as the changes to 37 C.F.R. Section 1.53 and 1.78(a)(1), indicate that in order to obtain the benefit of a prior U.S. application, either the basic filing fee must be paid, or the processing and retention fee of Section 1.21(l) must be paid, within 1 year from notification under Section 53(f).

Fee for international-type search report (\$40.00; 37 C.F.R. Section 1.21(e))

Total Fees Enclosed

[]

\$<u>0.00</u>

14.	4. Method of Payment of Fees		
	[]	Check	in the amount of \$
	[]	Charge	Account No in the amount of \$ A duplicate of this transmittal is attached.
NOTE:	Fees sho 1.22(b).	uld be ite	mized in such a manner that it is clear for which purpose the fees are paid. 37 C.F.R. Section
15.	Author	rization	to Charge Additional Fees
WARN.	ING:	If no fee	s are to be paid on filing, the following items should <u>not</u> be completed.
WARN.	ING:		ely count claims, especially multiple dependent claims, to avoid unexpected high charges, if aim charges are authorized.
	[]		ommissioner is hereby authorized to charge the following additional fees by per and during the entire pendency of this application to Account No
		[]	37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
		[]	37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)
NOTE:	al fees for excess or multiple dependent claims not paid on filing or on later presentation must ese claims cancelled by amendment prior to the expiration of the time period set for response y notice of fee deficiency (37 C.F.R. Section 1.16(d)), it might be best not to authorize the Iditional claim fees, except possibly when dealing with amendments after final action.		
		[]	37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application) 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a). 37 C.F.R. Section 1.17 (application processing fees)
NOTE:	reply, reincorpor all require petition y under the treated a	equiring of cating a p red fees, f for an exte is paragro is a const	may be submitted in an application that is an authorization to treat any concurrent or future a petition for an extension of time under this paragraph for its timely submission, as etition for extension of time for the appropriate length of time. An authorization to charge sees under Section 1.17, or all required extension of time fees will be treated as a constructive tension of time in any concurrent or future reply requiring a petition for an extension of time aph for its timely submission. Submission of the fee set forth in Section 1.17(a) will also be ructive petition for an extension of time in any concurrent reply requiring a petition for an under this paragraph for its timely submission." 37 C.F.R. Section 1.136(a)(3).
		[]	37 C.F.R. Section 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. Section 1.311(b))

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NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 C.F.R. Section 1.311(b)).

NOTE: 37 C.F.R. Section 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application . . . prior to paying, or at the time of paying, . . issue fee." From the wording of 37 C.F.R. Section 1.28(b), (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.

16. Instructions as to Overpayment

NOTE:	" Amounts of twenty-five dollars or less will not be returned unless specifically requested within a reasonable time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may be returned by check or, if requested, by credit to a deposit account." 37 C.F.R. Section 1.26(a).					
	[]	Credit Account No Refund				
17.	Corres	pondence Address				
	Custom	er No. 22206				
			Respectfully submitted,			
DATE	7/9	03	SIGNATURE OF PRACTITIONER			
Reg. N	Io.: 36,0	50	R. Alan Weeks (type or print name of practitioner)			
Tel. N	o.: (918	5) 599-0621	321 S. Boston Ave., Suite 800 P.O. Address			
Custor	ner No.:	22206	Tulsa, OK 74103-3318			

214345.1



(New Application Transmittal--page 12 of 12)

[]	Incorporation by reference of added pages						
	(inclue applie	(check the following item if the application in this transmittal claims the benefit of prior U.S. application(s, (including an international application entering the U.S. stage as a continuation, divisional or C-I-F application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED)					
	[]	Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S Application(s) Claimed Number of pages added					
	[]	Plus Added Pages for Papers Referred to in Item 4 Above Number of pages added					
	[]	Plus added pages deleting names of inventor(s) named on prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application. Number of pages added					
	[]	Plus "Assignment Cover Letter Accompanying New Application" Number of pages added					
[X]	State	Statement Where No Further Pages Added					
		(if no further pages form a part of this Transmittal, then end this Transmittal with this page and check th following item)					
	[X]	This transmittal ends with this page.					

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence and any document referred to as being attached thereto is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Mail Box PGPUB, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July _______, 2003.

LUZ C. LOPEZ

(Type name of person mailing paper)

PTO/SB/35 (11-00)
Approved for use through 10/31/2002, OMB 0651-0031
U.S. Patent and Trademark Office
U.S. DEPARTMENT OF COMMERCE

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122 (b)(2)(B)(i)

First Named Inventor	Robert W. Healey
Title	Reverse battery protection for a trolling motor
Atty Docket Number	57382/02-234

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

7 | q | 0 }

Signature

R. Alan Weeks, Attorney of Record
Typed or printed name

Registration No. 36,050

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

22206

214351.1